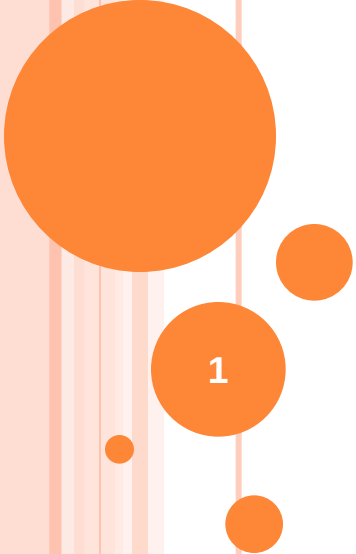


PROGRAM EFFICIENCY & COMPLEXITY ANALYSIS



1

ALGORITHM DEFINITION

A finite set of statements that guarantees an optimal solution in finite interval of time

GOOD ALGORITHMS?

- Run in less time
- Consume less memory

But computational resources (time complexity) is usually more important

MEASURING EFFICIENCY

- The efficiency of an algorithm is a measure of the amount of resources consumed in solving a problem of size n .
 - The resource we are most interested in is time
 - We can use the same techniques to analyze the consumption of other resources, such as memory space.
- It would seem that the most obvious way to measure the efficiency of an algorithm is to run it and measure how much processor time is needed
- Is it correct ?

FACTORS

- Hardware
- Operating System
- Compiler
- Size of input
- Nature of Input
- Algorithm

Which should be improved?

RUNNING TIME OF AN ALGORITHM

- Depends upon
 - Input Size
 - Nature of Input
- Generally time grows with size of input, so running time of an algorithm is usually measured as function of input size.
- Running time is measured in terms of number of steps/primitive operations performed
- Independent from machine, OS

FINDING RUNNING TIME OF AN ALGORITHM / ANALYZING AN ALGORITHM

- Running time is measured by number of steps/primitive operations performed
- Steps means elementary operation like
 - $, +, *, <, =, A[i]$ etc
- We will measure number of steps taken in term of size of input

SIMPLE EXAMPLE (1)

// Input: int A[N], array of N integers
// Output: Sum of all numbers in array A

```
int Sum(int A[], int N)
{
    int s=0;
    for (int i=0; i< N; i++)
        s = s + A[i];
    return s;
}
```

How should we analyse this?

SIMPLE EXAMPLE (2)

// Input: int A[N], array of N integers
// Output: Sum of all numbers in array A

```
int Sum(int A[], int N){
```

```
    int s=0; ← ①
```

```
    for (int i=0; i< N; i++)
```

```
        s = s + A[i];
```

```
    return s;
```

```
}
```

1,2,8: Once

3,4,5,6,7: Once per each iteration
of for loop, N iteration

Total: $5N + 3$

The *complexity function* of the
algorithm is : $f(N) = 5N + 3$

SIMPLE EXAMPLE (3) GROWTH OF $5N+3$

Estimated running time for different values of N:

$N = 10$	$\Rightarrow 53$ steps
$N = 100$	$\Rightarrow 503$ steps
$N = 1,000$	$\Rightarrow 5003$ steps
$N = 1,000,000$	$\Rightarrow 5,000,003$ steps

As N grows, the number of steps grow in *linear* proportion to N for this function “*Sum*”

WHAT DOMINATES IN PREVIOUS EXAMPLE?

What about the +3 and 5 in $5N+3$?

- As N gets large, the +3 becomes insignificant
- 5 is inaccurate, as different operations require varying amounts of time and also does not have any significant importance

What is fundamental is that the time is *linear* in N .

Asymptotic Complexity: As N gets large, concentrate on the highest order term:

- Drop lower order terms such as +3
- Drop the constant coefficient of the highest order term i.e. N

ASYMPTOTIC COMPLEXITY

- The $5N+3$ time bound is said to "grow asymptotically" like N
- This gives us an approximation of the complexity of the algorithm
- Ignores lots of (machine dependent) details, concentrate on the bigger picture

COMPARING FUNCTIONS: ASYMPTOTIC NOTATION

- Big Oh Notation: Upper bound
- Omega Notation: Lower bound
- Theta Notation: Tighter bound

BIG OH NOTATION [1]

If $f(N)$ and $g(N)$ are two complexity functions, we say

$$f(N) = O(g(N))$$

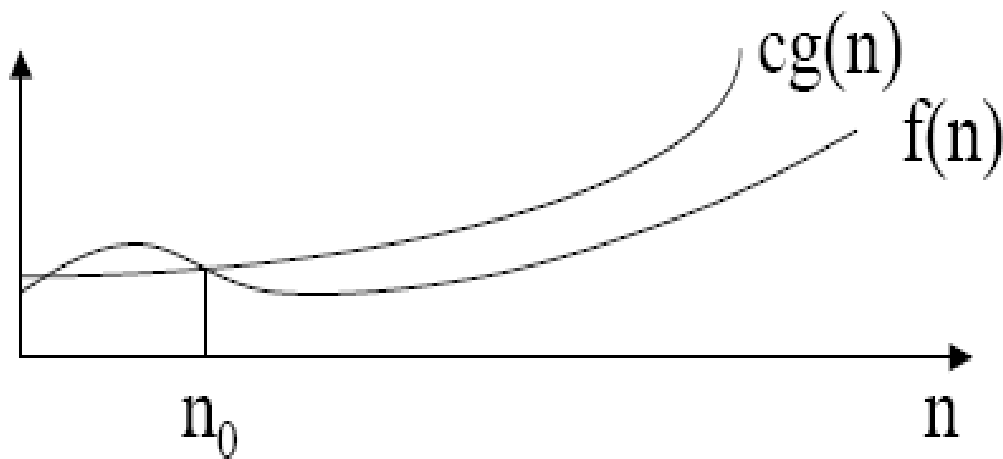
(read " $f(N)$ is order $g(N)$ ", or " $f(N)$ is big-O of $g(N)$ ")

if there are constants c and N_0 such that for $N > N_0$,

$$f(N) \leq c * g(N)$$

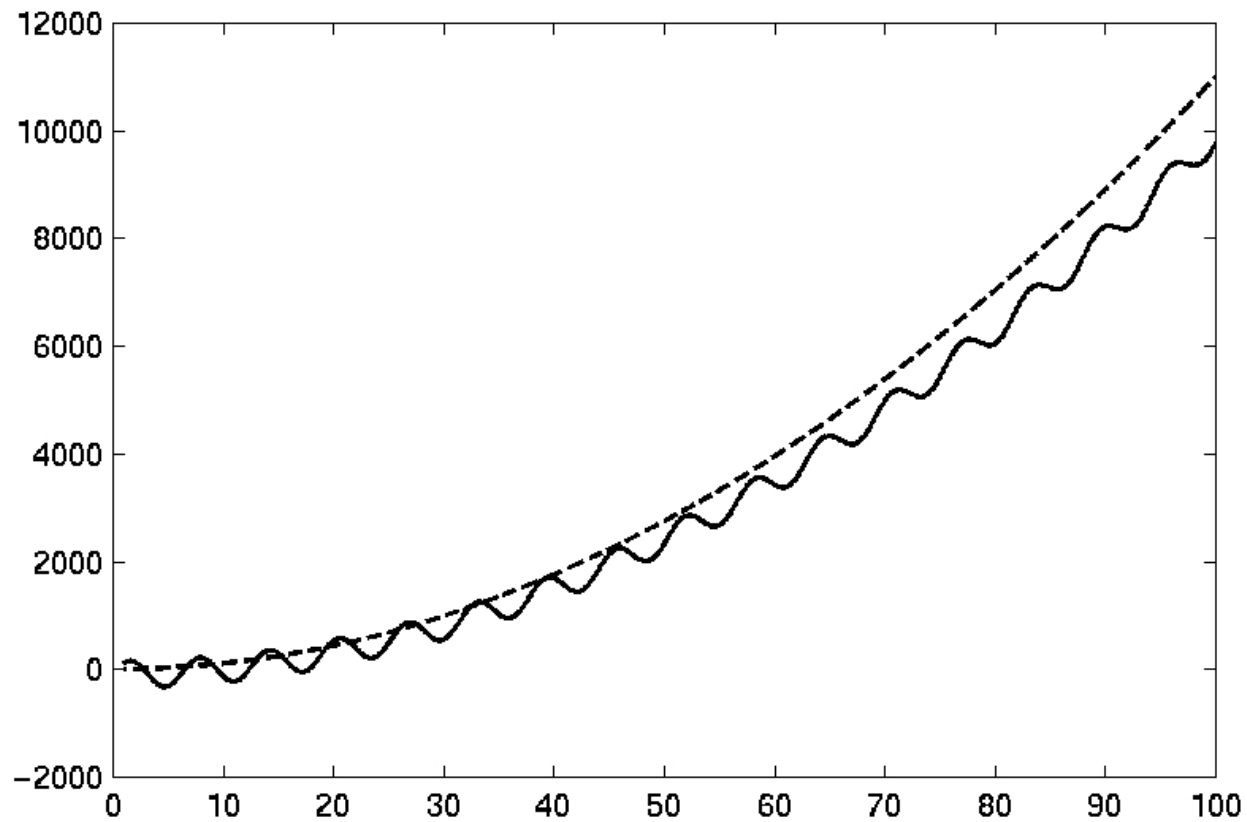
for all sufficiently large N .

BIG OH NOTATION [2]



- Function $cg(n)$ always dominates $f(n)$ to the right of n_0

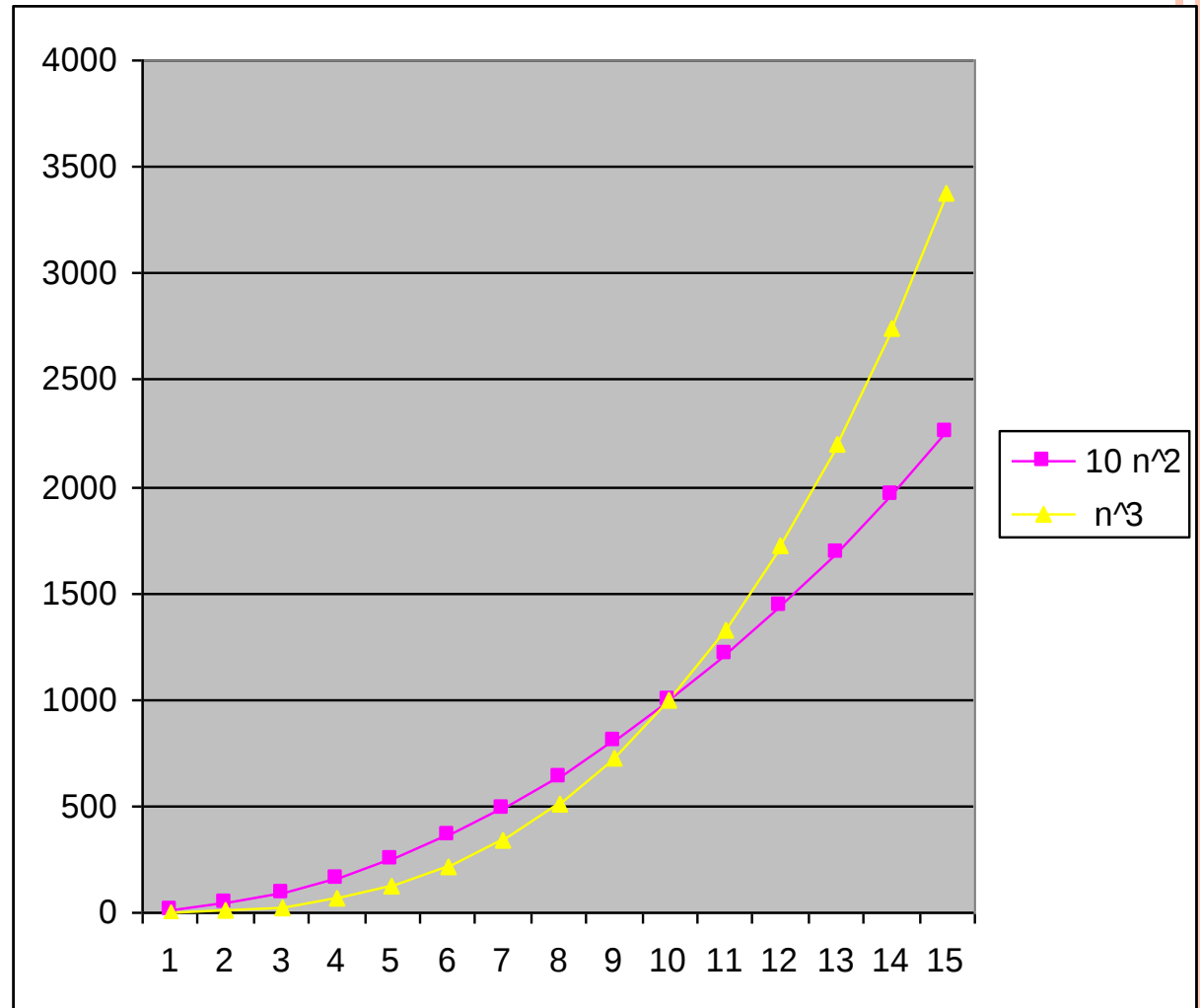
$O(F(N))$



EXAMPLE (2): COMPARING FUNCTIONS

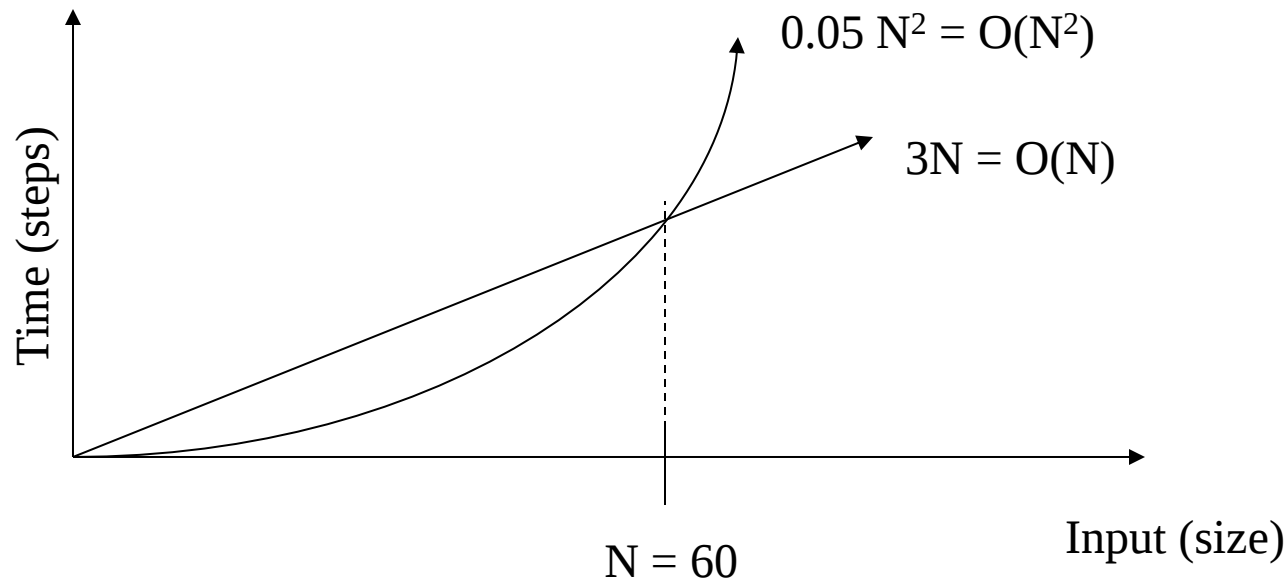
- Which function is better?

$10n^2$ Vs n^3



COMPARING FUNCTIONS

- As inputs get larger, any algorithm of a smaller order will be more efficient than an algorithm of a larger order



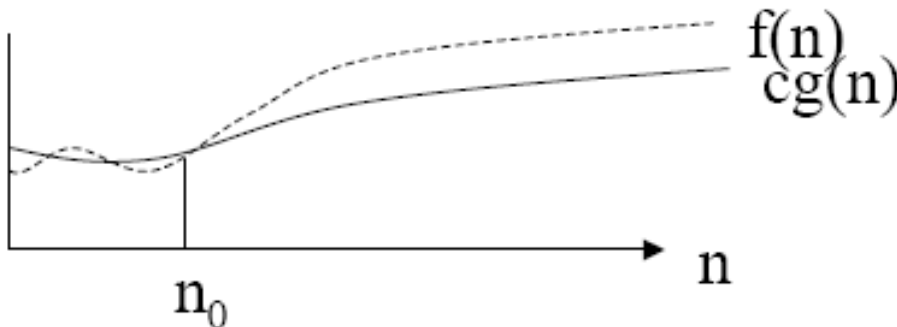
BIG-OH NOTATION

- Even though it is **correct** to say “ $7n - 3$ is $O(n^3)$ ”, a **better** statement is “ $7n - 3$ is $O(n)$ ”, that is, one should make the approximation as tight as possible
- Simple Rule:
Drop lower order terms and constant factors
 $7n - 3$ is $O(n)$
 $8n^2 \log n + 5n^2 + n$ is $O(n^2 \log n)$

BIG OMEGA NOTATION

- If we wanted to say “running time is at least...” we use Ω
- Big Omega notation, Ω , is used to express the lower bounds on a function.
- If $f(n)$ and $g(n)$ are two complexity functions then we can say:

$f(n)$ is $\Omega(g(n))$ if there exist positive numbers c and n_0 such that $0 \leq f(n) \leq cg(n)$ for all $n \geq n_0$

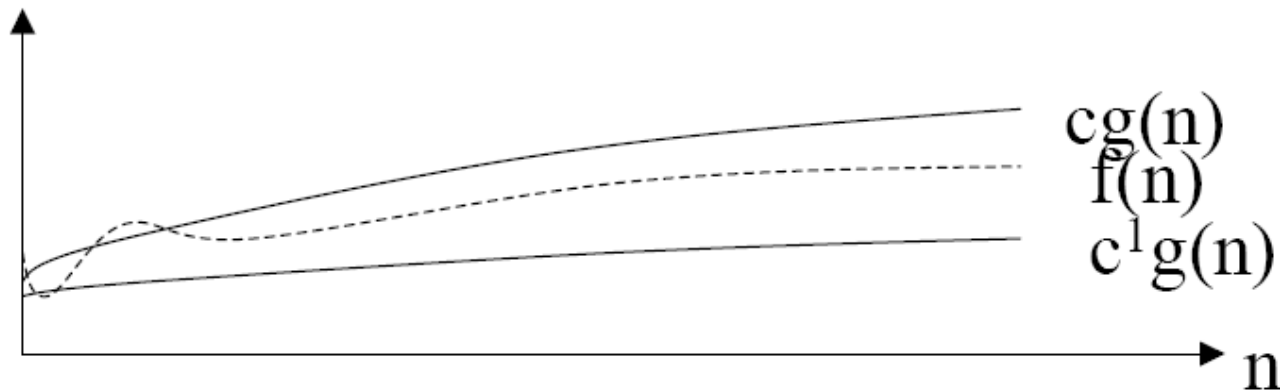


- In this instance, function $cg(n)$ is dominated by function $f(n)$ to the right of n_0

- Example : $3n + 2 = \Omega(n)$

BIG THETA NOTATION

- If we wish to express tight bounds we use the theta notation, Θ
- $f(n) = \Theta(g(n))$ means that $f(n) = O(g(n))$ and $f(n) = \Omega(g(n))$



WHAT DOES THIS ALL MEAN?

- If $f(n) = \Theta(g(n))$ we say that $f(n)$ and $g(n)$ grow at the same rate, asymptotically
- If $f(n) = O(g(n))$ and $f(n) \neq \Omega(g(n))$, then we say that $f(n)$ is asymptotically slower growing than $g(n)$.
- If $f(n) = \Omega(g(n))$ and $f(n) \neq O(g(n))$, then we say that $f(n)$ is asymptotically faster growing than $g(n)$.

WHICH NOTATION DO WE USE?

- To express the efficiency of our algorithms which of the three notations should we use?
- As computer scientist we generally like to express our algorithms as big O since we would like to know the upper bounds of our algorithms.
- Why?
- If we know the worse case then we can aim to improve it and/or avoid it.

PERFORMANCE CLASSIFICATION

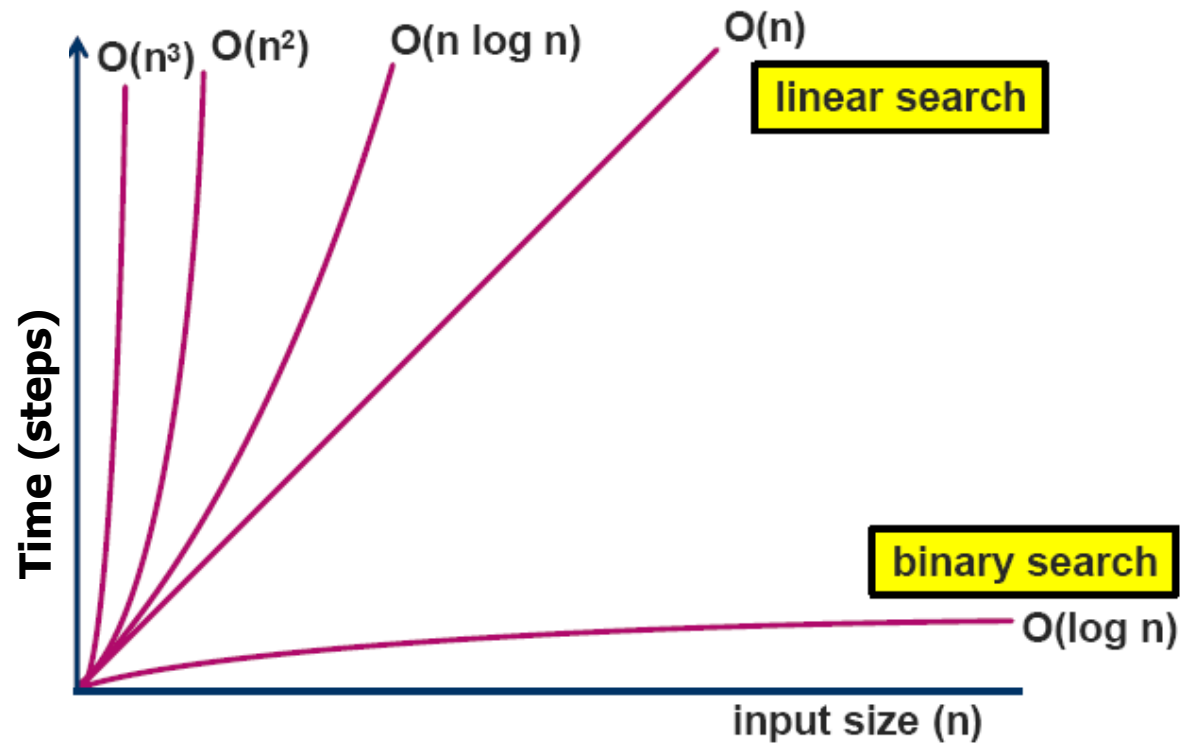
$f(n)$	Classification
1	Constant: run time is fixed, and does not depend upon n . Most instructions are executed once, or only a few times, regardless of the amount of information being processed
$\log n$	Logarithmic: when n increases, so does run time, but much slower. Common in programs which solve large problems by transforming them into smaller problems. Exp : binary Search
n	Linear: run time varies directly with n . Typically, a small amount of processing is done on each element. Exp: Linear Search
$n \log n$	When n doubles, run time slightly more than doubles. Common in programs which break a problem down into smaller sub-problems, solves them independently, then combines solutions. Exp: Merge
n^2	Quadratic: when n doubles, runtime increases fourfold. Practical only for small problems; typically the program processes all pairs of input (e.g. in a double nested loop). Exp: Insertion Search
n^3	Cubic: when n doubles, runtime increases eightfold. Exp: Matrix
2^n	Exponential: when n doubles, run time squares. This is often the result of a natural, “brute force” solution. Exp: Brute Force. Note: $\log n, n, n \log n, n^2 \gg$ less Input $\gg$ Polynomial $n^3, 2^n \gg$ high input $\gg$ non polynomial

SIZE DOES MATTER[1]

What happens if we double the input size N ?

N	$\log_2 N$	$5N$	$N \log_2 N$	N^2	2^N
8	3	40	24	64	256
16	4	80	64	256	65536
32	5	160	160	1024	$\sim 10^9$
64	6	320	384	4096	$\sim 10^{19}$
128	7	640	896	16384	$\sim 10^{38}$
256	8	1280	2048	65536	$\sim 10^{76}$

COMPLEXITY CLASSES



SIZE DOES MATTER[2]

- Suppose a program has run time $O(n!)$ and the run time for $n = 10$ is 1 second

For $n = 12$, the run time is 2 minutes

For $n = 14$, the run time is 6 hours

For $n = 16$, the run time is 2 months

For $n = 18$, the run time is 50 years

For $n = 20$, the run time is 200 centuries

STANDARD ANALYSIS TECHNIQUES

- Constant time statements
- Analyzing Loops
- Analyzing Nested Loops
- Analyzing Sequence of Statements
- Analyzing Conditional Statements

CONSTANT TIME STATEMENTS

- Simplest case: $O(1)$ time statements
- Assignment statements of simple data types
`int x = y;`
- Arithmetic operations:
`x = 5 * y + 4 - z;`
- Array referencing:
`A[j] = 5;`
- Array assignment:
`∀ j, A[j] = 5;`
- Most conditional tests:
`if (x < 12) ...`

ANALYZING LOOPS[1]

- Any loop has two parts:
 - How many iterations are performed?
 - How many steps per iteration?

```
int sum = 0,j;  
for (j=0; j < N; j++)  
    sum = sum +j;
```

- Loop executes N times (0..N-1)
 - 4 = $O(1)$ steps per iteration
- Total time is $N * O(1) = O(N*1) = O(N)$

ANALYZING LOOPS[2]

- What about this **for** loop?

```
int sum =0, j;
```

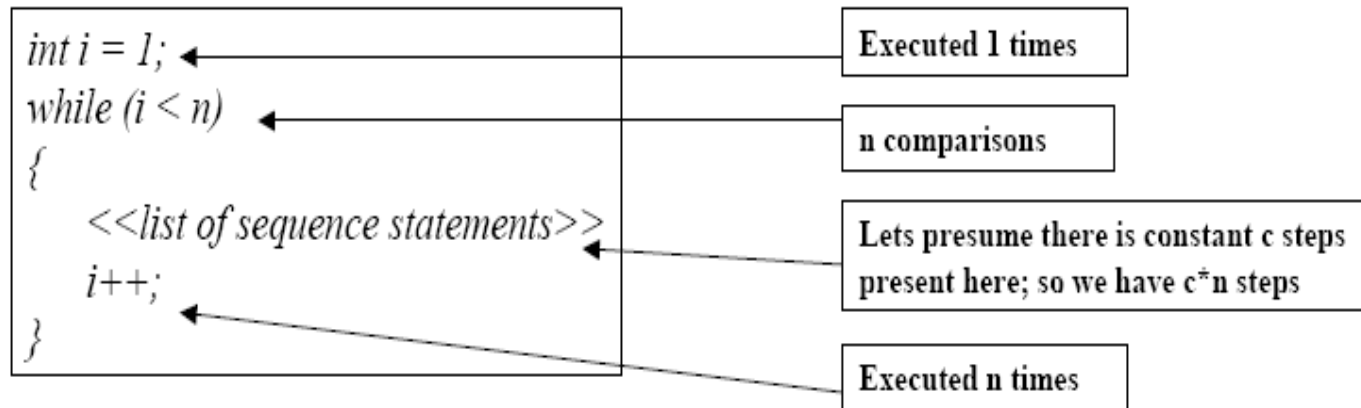
```
for (j=0; j < 100; j++)
```

```
    sum = sum +j;
```

- Loop executes 100 times
- $4 = O(1)$ steps per iteration
- Total time is $100 * O(1) = O(100 * 1) = O(100) = O(1)$

ANALYZING LOOPS – LINEAR LOOPS

- Example (have a look at this code segment):



- Efficiency is proportional to the number of iterations.
- Efficiency time function is :
$$f(n) = 1 + (n-1) + c*(n-1) + (n-1)$$
$$= (c+2)*(n-1) + 1$$
$$= (c+2)n - (c+2) + 1$$
- Asymptotically, efficiency is : $O(n)$

ANALYZING NESTED LOOPS[1]

- Treat just like a single loop and evaluate each level of nesting as needed:

```
int j,k;  
for (j=0; j<N; j++)  
    for (k=N; k>0; k--)  
        sum += k+j;
```

- Start with outer loop:
 - How many iterations? N
 - How much time per iteration? Need to evaluate inner loop
- Inner loop uses $O(N)$ time
- Total time is $N * O(N) = O(N*N) = O(N^2)$

ANALYZING NESTED LOOPS[2]

- What if the number of iterations of one loop depends on the counter of the other?

```
int j,k;  
for (j=0; j < N; j++)  
    for (k=0; k < j; k++)  
        sum += k+j;
```

- Analyze inner and outer loop together:
- Number of iterations of the inner loop is:
- $0 + 1 + 2 + \dots + (N-1) = O(N^2)$

HOW DID WE GET THIS ANSWER?

- When doing Big-O analysis, we sometimes have to compute a series like: $1 + 2 + 3 + \dots + (n-1) + n$
- i.e. Sum of first n numbers. What is the complexity of this?
- Gauss figured out that the sum of the first n numbers is always:

$$\sum_{i=1}^n i = \frac{n * (n+1)}{2} = \frac{n^2 + n}{2} = O(n^2)$$

SEQUENCE OF STATEMENTS

- For a sequence of statements, compute their complexity functions individually and add them up

```
for (j=0; j < N; j++)  
    for (k =0; k < j; k++)  
        sum = sum + j*k;  
for (l=0; l < N; l++)  
    sum = sum -1;  
System.out.print("sum is now"+sum);
```

$\left. \begin{array}{l} \text{for (j=0; j < N; j++)} \\ \text{for (k =0; k < j; k++)} \\ \text{sum = sum + j*k;} \end{array} \right\} O(N^2)$

$\left. \begin{array}{l} \text{for (l=0; l < N; l++)} \\ \text{sum = sum -1;} \end{array} \right\} O(N)$

$\left. \begin{array}{l} \text{System.out.print("sum is now"+sum);} \end{array} \right\} O(1)$

- Total cost is $O(n^2) + O(n) + O(1) = O(n^2)$

CONDITIONAL STATEMENTS

- What about conditional statements such as

if (condition)
 statement1;
else
 statement2;
- where statement1 runs in $O(n)$ time and statement2 runs in $O(n^2)$ time?
- We use "worst case" complexity: among all inputs of size n , what is the maximum running time?
- The analysis for the example above is $O(n^2)$

DERIVING A RECURRENCE EQUATION

- So far, all algorithms that we have been analyzing have been non recursive
- Example : Recursive power method

```
double power( double x, int n) {  
    if ( n == 0)  
        return 1.0;           // base case  
    //else  
        return power(x, n-1)*x; // recursive case  
}
```

- If $N = 1$, then running time $T(N)$ is 2
- However if $N \geq 2$, then running time $T(N)$ is the cost of each step taken plus time required to compute $\text{power}(x, n-1)$. (i.e. $T(N) = 2 + T(N-1)$ for $N \geq 2$)
- How do we solve this? One way is to use the iteration method.

ITERATION METHOD

- This is sometimes known as “Back Substituting”.
- Involves expanding the recurrence in order to see a pattern.
- Solving formula from previous example using the iteration method :
- **Solution** : Expand and apply to itself :
Let $T(1) = n_0 = 2$
 $T(N) = 2 + T(N-1)$
 $\quad = 2 + 2 + T(N-2)$
 $\quad = 2 + 2 + 2 + T(N-3)$
 $\quad = 2 + 2 + 2 + \dots + 2 + T(1)$
 $\quad = 2N + 2$ remember that $T(1) = n_0 = 2$ for $N = 1$
- So $T(N) = 2N+2$ is $O(N)$ for last example.

SUMMARY

- Algorithms can be classified according to their complexity => O-Notation
 - only relevant for large input sizes
- "Measurements" are machine independent
 - worst-, average-, best-case analysis

REFERENCES

Introduction to Algorithms by Thomas H. Cormen
Chapter 3 (Growth of Functions)